IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

HELMLING et al.

Application No. 10/522,582 Confirmation No. 6124

Filed: 26 January 2005

For: GHRELIN BINDING NUCLEIC

ACIDS

Art Unit: (Unassigned - New Appln.)

Examiner: (Unassigned - New Appln.)

Atty. Docket No. 116676-2

Customer No.

29180 29180

PATENT TRADEMARK OFFICE

RESPONSE TO NOTICE TO FILE MISSING REQUIREMENTS

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Mail Stop PCT

Sir:

In response to the Notice to File Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office dated 24 February 2006, submitted herewith are the following:

- Executed Declaration & Power of Attorney
- Copy of Notice to File Missing Requirements
- Sequence Listing (CRF)
- Statement

OFFICIAL FEE:

Declaration surcharge \$65.00 TOTAL FEE ENCLOSED: \$65.00

Applicant: HELMLING et al. Application No. 10/522,582

Applicants submit a check in the amount of the required fee (\$65.00). The Commissioner is hereby authorized to charge any additional fees which may be required for this Response, or to credit any overpayment, to Deposit Account No. 02-1818, and to notify the undersigned.

Date: 23 May 2006

Respectfully submitted,

Dean H. Nakamura Registration No. 33,981

BBL

1615 L Street, N.W.

Suite 1200

Washington, D.C. 20036

Telephone: (202) 955-6851 Facsimile: (202) 835-4143

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of:

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PATENT TRADEMARK OFFICE

STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Mail Stop PCT

Sir:

Attached hereto is a CRF containing the Sequence Listing. The content of the CRF and of the Paper Copy of the Sequence Listing previously filed is the same.

Date: 23 May 2006

, COO CC

Respectfully submitted,

Dean H. Nakamura Registration No. 33,981

BBL

1615 L Street, N.W.

Suite 1200

Washington, D.C. 20036 Telephone: (202) 955-6851 Facsimile: (202) 835-4143

CEDTIFICATE	EMAITING DV HEV	DDECC MAIL II (27 CED 1 10)	Doc	ket No.
Applicant(s): HELML		PRESS MAIL" (37 CFR 1.10)		6676-2
Application No.	Filing Date 26 January 2005	Examiner - TBA	Customer No.	Group Art Unit
Invention: GHRELIN	BINDING NUCLEIC AC	CIDS		
F. Transmittal Form 2. Fee Transmittal a 3. Petition for Exten	nd check for \$125.00 sion of Time for 1 month e to File Missing Requirer	5. Executed Declaration and Po6. Sequence Listing (CFR) and7. Postcard	•	
CFR 1.10 in an enve	elope addressed to: Come May 24, 2006 (Date)	Heather (Typed or Printed Name of Pers	J. Foster	
		EV551749		
	Note: Each pag	per must have its own certificate of mailing		

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		Application Number	10/522,58	
TRANSMITTAL		Filing Date	26 Januar	y 2005
FORM		First Named Inventor	Helmling	
		Art Unit	ТВА	
(to b	e used for all correspondence after initia	Examiner Name	ТВА	
	Number of Pages in This Submission	Attorney Docket Num	ber 116676-2	
		ENCLOSURES (Chec	k all that apply	<i>'</i>)
√	Fee Transmittal Form	Drawing(s)		After Allowance Communication to T Appeal Communication to Board
	Fee Attached	Licensing-related Paper	S	of Appeals and Interferences
	Amendment/Reply	Petition Petition to Convert to a		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
i	After Final	Provisional Application Power of Attorney, Revo	eation	Proprietary Information
	Affidavits/declaration(s)	Change of Corresponde		Status Letter
✓	Extension of Time Request	Terminal Disclaimer		Other Enclosure(s) (please Identify below):
	Express Abandonment Request	Request for Refund	Request for Refund Sequence Listing CRF Executed Declaration	
	Information Disclosure Statement	CD, Number of CD(s)		Statement re: Sequence Listing
	iniomation disclosure statement	Landscape Table	on CD	
	Certified Copy of Priority Document(s)	Remarks	511 CD	
	Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53			
-	SIGNA	<u> </u> ATURE OF APPLICANT, A	TTORNEY, O	DR AGENT
irm Na		•	· ·	
Signatui				
Printed (name Dean Nakamura			
Date 23 May 2006			Reg. No.	33,981
		CERTIFICATE OF TRANSM	IISSION/MA	ILING

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Signature

Typed or printed name

PTO/SB/17 (11-04)

Approved for use through 10/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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SATES CO FOR SE	ALE A S

FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT 125.00

Complete if Known				
Application Number	10/522,582			
Filing Date	26 January 2005			
First Named Inventor	S. Helmling et al.			
Examiner Name	(New Application – Unassigned)			
Art Unit	(New Application – Unassigned)			
Attorney Docket No.	116676-2			

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)							
			3. ADDITIONAL FEES						
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order			Large	Entity	_ Small	Entity			
☐ Deposit Account:									
l ⁻ ' _	_	/		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit	02-1818	/		1051	130	2051	65	Surcharge - late filing fee or oath	65.00
Account Number	02-1016	1	ŀ	1052	50	2052	25	Surcharge - late provisional filing fee	
Deposit								or cover sheet.	
	Bell, Boyd & Lloy	rd PLLC		1053	130	1053	130	Non-English specification	
Name				1812	2,520	1812	2,520	For filing request for ex parte reexam	
		to: (check all that appl		1804	920*	1804	920*	Requesting publication of SIR prior to	
		☐ Credit any overpayr		l				Examiner action	
this application	ionai ree(s) un	der 1.16 or 1.17 during	pendency of	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	icated below,	except for the filing fe	е	1251	110	2251	55	Extension for reply within first month	60.00
to the above-identifie	ed deposit acco	ount.		1252	430	2252	215	Extension for reply within second	
	FEE CA	LCULATION						month	
BASIC FILING	G FEE			1253	980	2253	490	Extension for reply within third month	
B	nall Entity	ee Description		1254	1,530	2254	765	Extension for reply within fourth month	
Code (\$) Cod		ee Description	Fee Paid	1255	2,080	2255	1,040	Extension for reply within fifth month	
1001 790 200		Itility filing fee		1401	340	2401	170	Notice of Appeal	
1002 350 200)2 175 C	Design filing fee		1402	340	2402	170	Filing a brief in support of an appeal	
1003 550 200		Plant filing fee		1403	300	2403	150	Request for oral hearing	
1004 790 200		Reissue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 200	5 80 P	Provisional filling fee		1452	110	2452	55	Petition to revive – unavoidable	
'	SUBTOTAL	(1)	(\$)	1453	1,370	2453	685	Petition to revive – unintentional	
			L\v/	1501	1,370	2501	685	Utility issue fee (or reissue)	
2. EXTRA CLAIM F	EES		_	1502	490	2502	245	Design issue fee	
		Extra Fee from Claims below	Fee Paid	1503	660	2503	330	Plant issue fee	
Total Claims] = [X C] = []	1460	130	1460	130	Petitions to the Commissioner	
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Claims] = L	×		1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent	Multiple Dependent X = 0 Recording each patent assignment								
Large Entity	Small Entity			8021	40	8021	40	per property (times number of properties)	
	Fee Fee	Fac Description		1809	790	2809	395	Filing a submission after final rejection	,
	Code (\$)	Fee Description						(37 CFR § 1.129(a))	
	2202	Claims in excess of 2		1810	790	2810	395	For each additional invention to be	
	2201	Independent claims in						examined (37 CFR § 1.129(b))	\vdash
1203	2203	Multiple dependent cl		1801	790	2801	395	Request for Continued Examination (RCE)	
1204	2204	** Reissue independe original patent		1802	900	1802	900	Request for expedited examination of a design application	
1205 ** Reissue claims in excess of 20 and over original patent				i ora design apprication					
			Other fe	e (specify	y)	-			
SUBTOTAL (2) (\$)									
				*Reduc	ed by Ba	sic Filin	g Fee Pa	aid SUBTOTAL (3) (\$)129	5.00
**or number previously paid, if greater; For Reissues, see above									

SUBMITTED BY		//		Co	mplete (if applicable)
Name (Print/Type)	Dean H. Nakamura	Registration No. Attorney/Agent)	33,981	Telephone	(202) 955-6851
Signature	1 Stu	M		Date	23 May 2006

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. SEND TO: Commissioner for Patents, Alexandria, VA 22313-1450.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFAURING OF COMMINION UNITED STATES DEFAURING OF COMMINION OF COMMIN

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/522,582 Steffen Helmling 2502491-991150

> INTERNATIONAL APPLICATION NO. PCT/EP03/08542

35928

DLA PIPER RUDNICK DLA PIPER RUDNICK GRAY CARY US, LLIGRAY CARY US LLP 1625 MASSACHUSETTS AVENUE, NW

Due: 4-24-06

I.A. FILING DATE PRIORITY DATE 08/01/2003 08/01/2002

WASHINGTON, DC 20036-2247

MAR - 1 2006

CONFIRMATION NO. 6124 371 FORMALITIES LETTER

OC000000018127272

Date Mailed: 02/24/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/26/2005
- Copy of the International Search Report filed on 01/26/2005
- U.S. Basic National Fees filed on 01/30/2006
- Priority Documents filed on 01/30/2006
- Specification filed on 01/30/2006
- Claims filed on 01/30/2006
- Abstracts filed on 01/30/2006
- Drawings filed on 01/30/2006
- Paper nucleotide sequence listings filed on 01/30/2006

RECEIVED BELL, BOYD & LLOYD INTELLECTUAL PROPERTY DOCKET

MAR 0 6 2006

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where

- applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825 (d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821 (e) may be submitted in lieu of a new CRF.
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/522,582	PCT/EP03/08542	2502491-991150

FORM PCT/DO/EO/905 (371 Formalities Notice)